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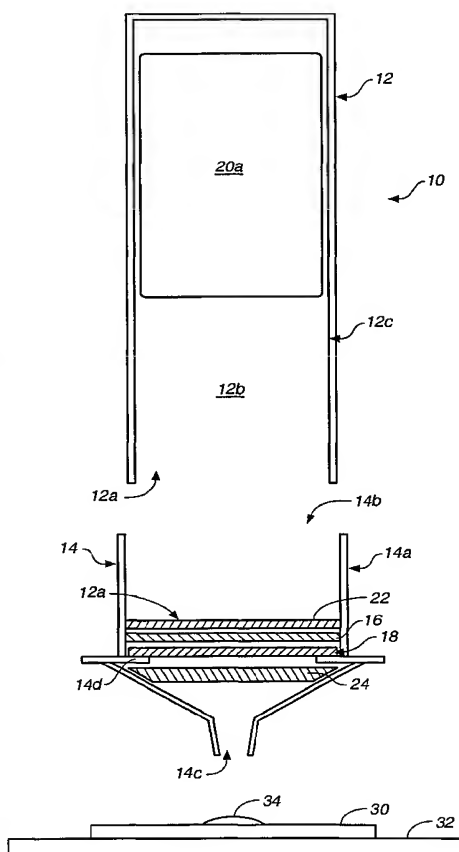
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[Continued on next page]

(54) Title: REAGENT DELIVERY DEVICE AND METHOD OF USE

(57) Abstract: A reagent delivery device includes a reservoir body (12) with a flexible side wall (12c) and a cap (14) which holds a reagent-containing matrix (18) and a filter (16). Sample is placed in the reservoir body (12) and the cap (14) is attached. The flexible side wall (12c) is compressed, rupturing a vessel (20a) to mix the contents with the sample. The mixture is filtered by the filter (16) and reagent diffuses from the reagent matrix (18) to form a reagent-sample mixture.



WO 02/08727 A1

**WO 02/08727 A1****Published:**

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## REAGENT DELIVERY DEVICE AND METHOD OF USE

### Background of the Invention

5 The present invention relates to a method and device for filtering a biologically derived sample and mixing the sample with a reagent for analysis of an analyte in the sample. Once the sample has been filtered and mixed with the reagent, it can be analyzed using conventional analytical techniques. The sample typically comprises an analyte in the form of a bindable target substance in a biologically derived liquid  
10 sample suspected of containing the substance. Many different forms of analytical devices can be used for analysis of the filtered, reagent-mixed analyte. For example, such devices are described in U.S. Patent No. 5,885,526, incorporated herein by reference. Many of such devices employ reaction membranes onto which a receptor is immobilized capable of specifically binding to the target substances. Typically,  
15 the sample to be tested is added dropwise to the reaction membrane. If the target substance is present in the sample, it will bind to the immobilized receptor. Various methods are used to determine whether the target substance is bound to the receptor, thus indicating its presence in the sample. In one commonly used method, an immunologically reactive binding capable of specifically binding the target substance  
20 attached to a detectable label is applied to the membrane. In the device of the foregoing patent, the sample is added to the top of and flows through a reaction membrane to an absorbent material beneath the membrane that draws the sample through the membrane.

WO 02/08727

PCT/US01/22479

-2-

In the testing of blood sample solutions, a known device is sold by EY Laboratories, Inc. under the name WBT™ includes a fluid container with a generally cylindrical reservoir body with one open end mating with a removable closure form a fluid passageway. The closure is in the form of a conical nose portion. A filter is placed  
5 in the nose portion across the fluid passageway. Blood is placed into the reservoir body which is sealed to the closure. Fluid reagent can be added to the reservoir. The reservoir body is flexible so that by compression of it the blood is forced through the filter and out a conical outlet in droplets. A filtering device of the foregoing type is then used to supply the filtered blood sample to an analytical device  
10 such as the membrane device set forth above. The reagents used to form the analysis, such as labels retained on a reaction surface, are added to the membrane in a separate step after the sample flows through the membrane. This separate step adds time to the assay and reduces the potential for technician error.

### Summary of the Invention

15 According to the invention, a device is provided for filtering a biologically derived sample and for mixing the sample with a reagent. The device comprises a fluid container defining a reservoir compartment and including a container outlet, a filter and a fluid flow-through matrix disposed in a flow path between the reservoir compartment and the container outlet. Water-soluble, dried reagent is retained on  
20 the matrix. Means is provided for urging sample in an aqueous liquid in the reservoir compartment through the filter and matrix and out the container outlet.

In another aspect of the invention, a method is provided for filtering a biologically derived sample including at least one analyte and mixing the sample with a reagent for analysis of the analyte. The method includes applying pressure to the sample in  
25 an aqueous liquid in a reservoir compartment of a container to cause the sample to flow in the liquid through a filter and a matrix in the container. The matrix carries a dried, water-soluble reagent which is dissolved producing a filtered aqueous liquid

-3-

mixture of the reagent and sample. The filtered mixture flows out of the container, so that one or more analytes in the filtered mixture may be analyzed.

### **Brief Description of the Drawings**

FIGS. 1 and 2 are schematic cross-sectional views of the mixing and filtering device of the present invention with the reservoir body and closure separated and illustrating a reaction surface.

### **Detailed Description of the Invention**

The present invention provides a device for filtering a biologically derived sample and mixing the sample with a reagent which greatly simplifies the analysis of the sample. The biologically derived sample may be analyzed using known analytical procedures. Such samples include bodily fluids such as whole blood, serum, plasma, urine, saliva or the like. In addition, the sample includes extract fluids such as from stool samples, culture of *E. coli*, suspensions from a surface for food safety such as the surface of a chicken or the like, fluids from sewage tested for various purposes, and various cells, bacteria and viruses which are ruptured to release the analyte of interest.

The analytes including a wide variety of proteins such as listed in U.S. Patent No. 5,006,464 which are conventionally analyzed in immunoassays, as well as other assay systems not categorized as immunoassays which employ the reaction of known binding pairs. In addition, the system is applicable to the analysis of assay systems which are not categorized as immunoassays, e.g., the detection of unknown DNA sequences. The assay device of U.S. Patent No. 5,006,464 other flow-through assay devices are particularly effective for purposes of the present invention.

-4-

Referring to FIG. 1, one embodiment of the filtering and mixing device is illustrated according to the present invention. The device includes a container 10 having a reservoir body 12 defining a body opening 12a at its lowermost end and an internal reservoir 12b. Body 12 includes a generally cylindrical sidewall 12c, preferably  
5 made of a flexible material such as plastic, which can be squeezed to urge fluid in the reservoir to filter a matrix and out the container as will be described hereinafter. A suitable plastic material is polyvinylchloride (PVC) or polyethylene or polyester.

The lower wall of reservoir body 12 mates with and forms a seal with removable closure 14. As illustrated, body 12 and closure 14 are separated. Closure 14  
10 includes an upwardly extending cylindrical sidewall 14a dimensioned to form a force-fit seal with the adjacent sidewall of reservoir body 12 which seals against liquid leakage. Other sealing means can be used such as mating screw threads. Closure 14 also includes an opening 14b at its upper end which, with the opening in body 12, forms an internal fluid passageway through container 10. Closure 14 is  
15 generally cylindrical at its upper end and generally conical at its lower end and defines an outlet opening 14c dimensioned to cause liquid to exit from container 10 on a drop-by-drop basis when the flexible wall 12 is squeezed to force liquid in reservoir 12b to flow through the filter and matrix, described below, and out opening 14c.

20 Other ways of urging aqueous liquid in the reservoir compartment through the filter may be employed instead of using a flexible sidewall 12. For example, a piston may be used at the top of the container.

A filter 16, suitably in the form of a circular disk, is disposed across the flow path between reservoir body 12 and opening 14c so that liquid in reservoir 12b flows  
25 through the filter to retain debris in the liquid which could otherwise interfere with the assay. For example, for the analysis of blood, particulate matter can be filtered by the filter. Suitable filters include membranes made of paper-backed

-5-

nitrocellulose, polycarbon, nylons, polyester or other porous polymers or other materials. Suitable filter porosities for blood are from about 0.2 to 1.0 micron. Other porosities may be employed depending upon the sample and the desired application. The thickness of the filter is typically about 0.5 mm to 1.5 mm or less, depending on manufacturer specifications. Suitable filters used in the WBT device for filtering blood may be employed for purposes of the present invention (e.g., ones made by Schleicher & Schuell, Millipore or MDI). As illustrated, closure 14 includes a shoulder 14d which projects inwardly of the closure to form a support for the outer periphery of filter 16.

When container 10 is used for whole blood or serum, plasma, urine, saliva or other secretions with particulate matter, the filter is chosen to filter off debris, dirt, cell ghost of red blood cell or white blood cells, sediment or the like in the sample.

In the embodiment of FIG. 1, a matrix 18 is illustrated on the outlet side of closure 14 from filter 16. A water-soluble, dried reagent used in the subsequent analysis of the sample analyte is immobilized on the matrix. The dried reagent is solubilized by the liquid forced through the matrix preferably by application of pressure against the flexible wall of reservoir body 12.

Matrix 18 can be formed of any material which can be placed in the container on which a dried reagent can be immobilized for subsequent solubilization on passage of an aqueous liquid through the matrix. Matrix 18 has sufficient porosity to permit liquid to flow through without undue pressure drops. Suitable matrix materials include filter glass, a flow-through screen, a porous membrane, such as nitrocellulose or coated nitrocellulose, or a packed particle bed with means to retain the particle bed such as porous frits.

One or more dried reagents are immobilized on the matrix material. In one embodiment, the reagent is a detectable label such as lyophilized colloidal gold,

WO 02/08727

PCT/US01/22479

-6-

fluorescent label, enzyme or the like, conjugated to a chemical moiety capable of binding to a biological molecule. Suitable lyophilized gold conjugates are sold by EY Laboratories, Inc. as listed in its catalogs. The label can be conjugated with any material capable of directly or indirectly binding to the analyte in the sample such as

5 in a competitive or sandwich binding assay by well known techniques. Specifically, the biological conjugate portion of the label typically binds to the capture reagent 22 immobilized to the reaction surface 24. Suitable chemical moieties include proteins carbohydrates, and polynucleotides, specifically including antibodies, antigens, DNA, RNA, lectin, biotin, biotinylated specific protein, biotinylated carbohydrate,

10 biotinylated polynucleotides, avidin, anti-sense protein or the like. Other dried reagents may also be retained on matrix 18 which are not conjugated to a label. For example, such other labels include enzymes, fluorescent dye, bioluminescent or chemiluminescent compounds or encapsulated dye such as microsphere containing dye or charcoal or microsphere linked enzymes or dye or colloidal gold. A

15 stabilizing agent may be added to the reagent on matrix 18 such as sucrose, maltose, melibiose, polyethylene glycol or bovine serum albumin or a buffered saline solution, or combinations thereof.

In one method of immobilization, reagent, such as a known concentration of FITC labeled antibody, is deposited on a matrix (e.g., in the form of fiberglass) and is

20 adsorbed onto the matrix. Then the reagent is allowed to air dry on the matrix and a matrix disk is cut out to place into closure 14 for use in the device. The same procedure applies for other reagents such as biological conjugates labeled with colloidal gold, enzyme, biotin, avidin, or the like.

Another procedure for immobilizing the reagent onto matrix 18 is by lyophilization.

25 Here, the matrix (e.g., fiberglass) is immersed into a solution of the reagent which is quickly frozen such as by embedding into a solution of alcohol and dry ice or liquid nitrogen. The frozen membrane in the form of a disk to be placed into the device is placed into a lyophilizer to be freeze dried by well known techniques.



WO 02/08727

PCT/US01/22479

-7-

- Referring again to FIG. 1, an optional pouch 20 including an aqueous carrier liquid 20a is disposed in reservoir body 12. Pouch 20 is formed of a readily rupturable material such as polyvinylchloride so that, when wall 12c is squeezed, the pouch is ruptured. Such rupturable pouches are commercially available. Typically, carrier liquid 20a is an aqueous buffer solution which mixes with the sample and flows through the filter and matrix and out the outlet opening 14c. The liquid buffer can be of a conventional type well known for use in the subsequent analysis of the sample analyte. The buffer solution also serves to reconstitute the dried reagent on matrix 18 for use in subsequent analysis. Suitable aqueous buffer solution include conventional components such as phosphates, borates or bicarbonates. Also, a surfactant or detergent may be added for the purpose of decreasing viscosity, providing lubrication effect and micel formation. Liquid is preferably at a pH of about 7.0 to 8 or higher or lower depending on the stability of the reagent and the optimum conditions for the binding pair.
- 15 In another embodiment illustrated in Fig. 1, an optional filter 22 may be inserted above filter 16 (between reservoir 12b and filter 16) to provide additional filter capability. In this instance, filter 22 can be of the same type as described for the matrix or filter. This may be desirable for samples such as urine which include large amounts of material to be filtered.
- 20 In yet another embodiment illustrated in Fig. 1, matrix 18 may be disposed in the reservoir compartment side of a filter which, in turn, is disposed on the outlet side of the matrix 18. One advantage of this setup is to thoroughly mix the dissolved reagent and sample. As illustrated, filters 16 and 22 are disposed above matrix 18 and an additional filter 24 is disposed below matrix 18. A layer such as a filter 24 disposed between the matrix 18 and outlet 14c can serve to further mix the reagents with the sample solution prior to capture by the capture reagent 24 on membrane 22.
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-8-

All of filters 16, 22 and 24 or one or more of them can be used, depending upon the application.

In another embodiment, not shown, the system does not include the rupturable pouch 20. In this instance, the sample may be a liquid such as blood in which no additional carrier liquid is added to reservoir compartment 12b. Alternatively, the sample may be filled into compartment 12b and a carrier liquid such as the same aqueous buffer solution is mixed with the sample prior to sealing of closure 14 with reservoir body 12.

In another embodiment, not shown, the sample may be deposited as by swabbing onto a surface at the outlet end of reservoir compartment 12b. In this instance, the deposited sample is not in liquid form. Thus, a carrier liquid such as a buffer solution must be added to reservoir compartment 12b. As described above, the carrier liquid can be in pouch 20 or just contained in the reservoir. In the illustrated embodiment, the sample would be deposited on filter 16 prior to filling the container. By squeezing reservoir body 12, the carrier liquid suspends or dissolves the analyte in the deposited sample for flow through the filter 16 and matrix 18 and out outlet 14c.

Referring again to FIG. 1, the sample and reagent flows out of outlet 14c and are directed to a reaction surface 30 of a conventional device 32. One such reaction surface includes an exposed membrane forming reaction surface 30 with an absorbent material below the membrane contained in a plastic housing. The capture reagent 34, which interacts with the sample analyte such as in an immunoassay, is immobilized on reaction surface 30. A suitable capture reagent is an antibody or antigen or other binding reagent (e.g. ones known to capture analytes). The liquid is drawn through the membrane by the absorbent material. A suitable device of this type is described in U.S. Patent No. 5,885,526, incorporated herein by reference.

-9-

The analysis method may be performed in a single step by flowing the solubilized reagent out of outlet 14c and onto the reaction surface. Alternatively, if desired, additional reagents not coming from container 10 may be added to the surface, such as washing solution. As is conventional, the sample analyte binds directly or  
5 indirectly to the reaction surface such as by an immunologically reactive pair, by a DNA hybridization pair, a lectin, carbohydrate pair or other molecules in biological systems or molecules designed to bind double stranded RNA.

Referring to FIG. 2, an embodiment of the invention is illustrated which includes an alternative to the rupturable pouch. Like parts will be designated with like numbers  
10 for Figures 1 and 2. As illustrated in FIG. 2, the pouch includes an outlet dropper 20b opening facing body opening 12a, with a removable closure such as a force-fit flexible cap 20c. At the time of use, cap 20c is removed and closure 14 is sealed with body 12. Liquid in the pouch is squeezed into the reservoir body 12 at the time of the test.

15 The following examples are provided to illustrate the present invention.

### Example 1

The following procedure is used to make a reagent immobilized membrane 18 of FIG. 1. A fiber glass disk (e.g. 3/8" diameter) is immersed into the reagent (Protein A colloidal gold label conjugate. A label made by the procedure of U.S. Patent No.  
20 5,541,059, incorporated herein by reference. In this instance, the reagent solution is 20 nM OD<sup>520</sup> (wave length 520 nm) colloidal gold labeled Protein A (or a specific immunoglobulin) conjugate solution in 20 nM phosphate buffer pH 7.4 containing 1% BSA. 50 µl of the solution are added to the top of the membrane and is absorbed. A disk is placed into a freeze dryer and freeze dried.

### 25 Example 2

-10-

In Example, a WBT device is used as container 10 is made of plastic with a flexible wall of the reservoir body. The filter is of a paper-backed nitrocellulose type. A disk of the reagent immobilized matrix made in FIG. 1 is placed in closure 14 between filter 16 and outlet 14c.

- 5 Reservoir 12b is partially filled with blood. A phosphate buffered saline solution is placed into a rupturable pouch 20. When it is desired to perform the assay, wall 12c is squeezed to rupture pouch 20 so that the buffer solution mixes with the blood and is filtered by filter 16 and passes through matrix 18 to dissolve the colloidal gold labeled reagent. The sample and reagent mixture in an aqueous solution pass  
10 through outlet 14c as drops for deposition onto a reaction surface 30 (paper-backed nitrocellulose) for reaction with capture reagent 34 (as described in U.S. Patent 5,885,526).

### Example 3

- 15 In this instance, a solid sample is tested for a microorganism. A swab is used to scrape sample from chicken skin. Optionally, the sample then is concentrated by depositing the sample on a filter 16 of sufficient porosity to pass the microorganism. Solution in the pouch is released to carry the microorganism through the filter and matrix containing lyophilized colloidal gold labeled anti-*E. coli* 0157.H7 specific antibody. A red color in the subsequent analysis shows a positive test.

WO 02/08727

PCT/US01/22479

-11-

**WHAT IS CLAIMED IS:**

1. A device for filtering a biologically derived sample and for mixing said sample with a reagent, said device comprising a fluid container defining a reservoir compartment and including a container outlet, a filter and a fluid flow-through  
5 matrix disposed in a flow path between said reservoir compartment and said container outlet, water-soluble, dried reagent retained on said matrix, and means for urging sample in an aqueous liquid in said reservoir compartment through said filter and matrix and out said container outlet.
2. The device of Claim 1 in which said urging means comprises a flexible wall  
10 portion of said container adjacent said reservoir compartment.
3. The device of Claim 1 in which said container comprises a reservoir body defining an opening, said container further comprising a removable closure defining said container outlet at one end and defining an opening at its other end mating with said reservoir opening to define therebetween a flow-through passage, said filter and  
15 matrix being disposed in said closure.
4. The device of Claim 1 further comprising a fluid-containing pouch disposed in said reservoir compartment.
5. The method of Claim 4 in which said pouch includes an outlet opening and a removable cap.
- 20 6. The device of Claim 1 in which said matrix is filter glass, a porous membrane, a packed particle bed or screen.
7. The device of Claim 1 in which said reagent comprises a detectable label.

WO 02/08727

PCT/US01/22479

-12-

8. The device of Claim 6 in which said detectable label is conjugated to a chemical moiety capable of binding to a biological molecule.
9. The device of Claim 1 in which said filter is on the reservoir compartment side of said matrix.
- 5 10. The device of Claim 9 further comprising a porous material disposed between said matrix and container outlet to mix said dissolved reagent and sample.
11. The device of Claim 1 in which said matrix is on the reservoir compartment side of said filter.
12. A method for filtering a biologically derived sample including at least one  
10 analyte and mixing said sample with a reagent for analysis of said analyte, said method comprising
- (a) applying pressure to said sample in an aqueous liquid in a reservoir compartment of a container to cause said sample to flow in said liquid through a filter and a fluid flow-through matrix in said container, said matrix carrying a dried,  
15 water-soluble reagent, thereby dissolving said reagent and producing a filtered aqueous liquid mixture of said reagent and sample, and
- (b) flowing said filtered mixture out of said container for subsequent analysis of said analyte.
13. The method of Claim 12 in which said sample comprises whole blood,  
20 serum, plasma, urine or saliva.
14. The method of Claim 12 in which said matrix is filter glass, a permeable membrane, a packed particle bed or a screen.

WO 02/08727

PCT/US01/22479

-13-

15. The method of Claim 12 in which said container has a flexible wall portion adjacent said reservoir compartment and said pressure is applied by squeezing said wall position.

16. The method of Claim 12 in which said sample flows through said filter in an aqueous carrier liquid.

17. The method of Claim 16 in which said carrier liquid is supplied to said container in a rupturable pouch which is ruptured under said applied pressure.

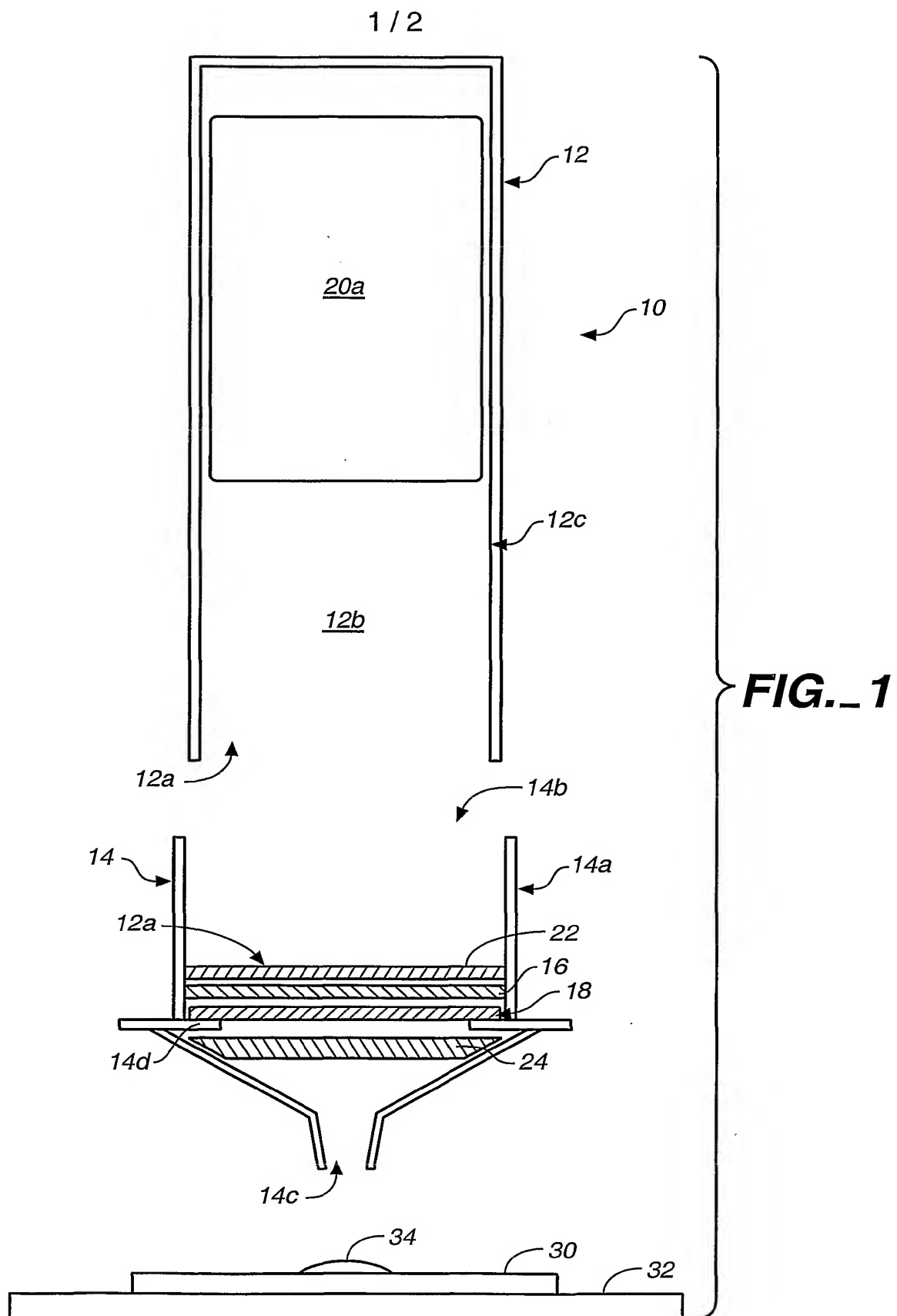
18. The method of Claim 17 in which said sample is deposited on a surface in said container and is at least partially dissolved in said carrier liquid prior to flow through said filter.

19. The method of Claim 12 in which said sample flows through said filter before flowing through said matrix.

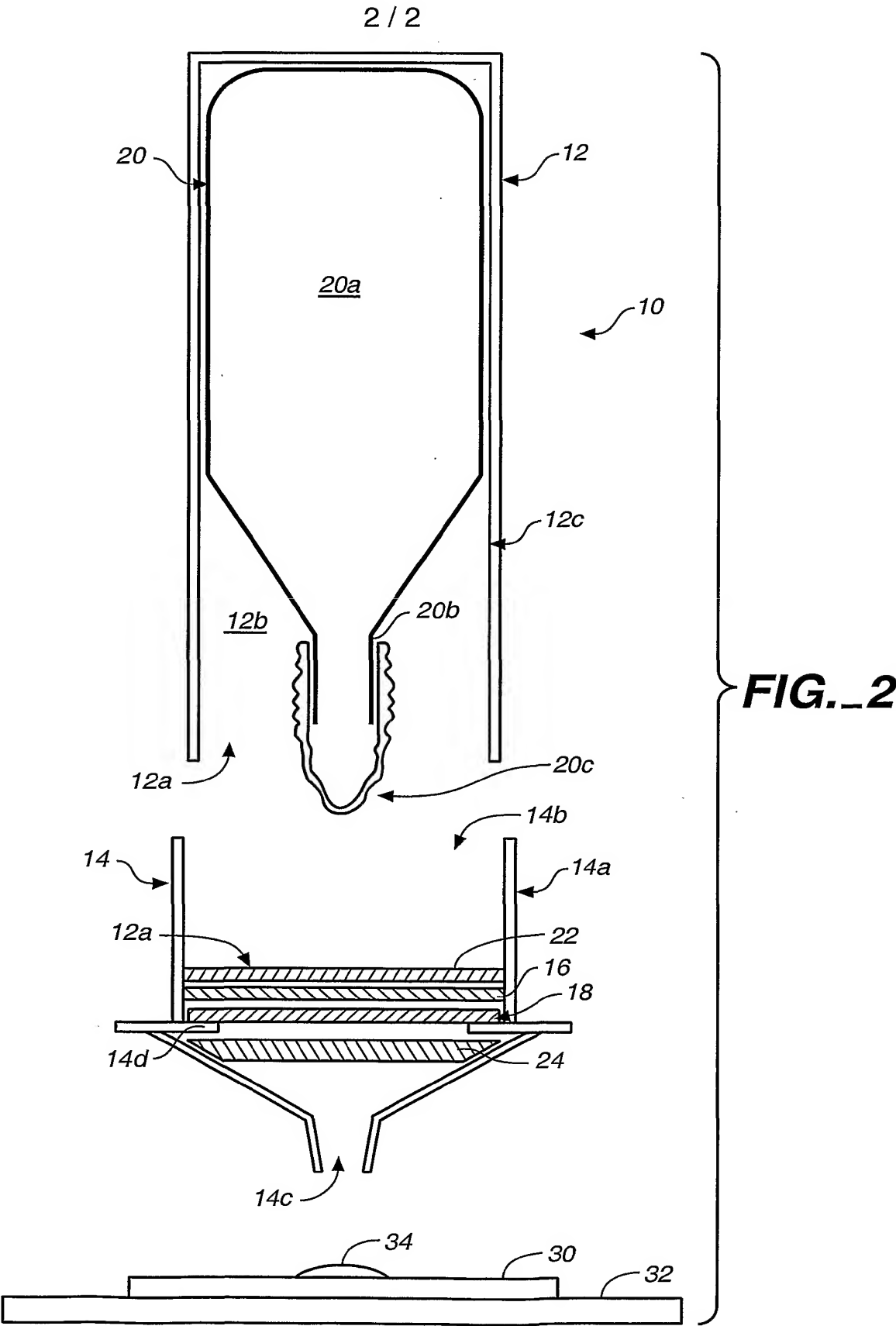
20. The method of Claim 12 in which said sample flows through said matrix before flowing through said filter.

21. The method of Claim 12 in which said reagent comprises a detectable label.

22. The method of Claim 12 in which said detectable label is conjugated to a chemical moiety capable of bonding to a biological molecule.







# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/22479

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G01N 1/38  
US CL : 436/165, 166, 177, 178, 179, 180, 808, 810; 422/56, 58, 61, 100, 101, 102; 435/7.1, 287.6, 287.7, 287.8, 288.1

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 436/165, 166, 177, 178, 179, 180, 808, 810; 422/56, 58, 61, 100, 101, 102; 435/7.1, 287.6, 287.7, 287.8, 288.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,978,504 A (NASON) 18 December 1990 (18.12.1990), column 4, lines 35-40 and 60-65, column 6, lines 35 and 40-45, and column 8, lines 1-5.	1-3, 6-16, 19-22
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Y		4-5, 17-18
Y	US 5,879,635 A (NASON) 09 March 1999 (09.03.1999), column 1, lines 50-60.	4-5, 17-18

<input type="checkbox"/> Further documents are listed in the continuation of Box C.	<input type="checkbox"/> See patent family annex.
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>
Date of the actual completion of the international search 27 August 2001 (27.08.2001)	Date of mailing of the international search report 05 NOV 2001
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230	<p>Authorized officer Jan M. Ludlow</p> <p>Telephone No. (703) 308-0661</p>